

## NYS DEALER APPLICATION FOR REGISTRATION PROCESSING BY PRIVATE PARTNERS

INSTRUCTIONS: Complete all sections in this application.

SECTION 1						
Facility Number or License Number assigned by NYS DMV, if any						
Facility Number of License Number assigned by NYS DIMV, if any						
Business Name (as filed with the New York State Department of State)						
Business Address (street and number where registrations will be processed)		Telephone #				
	-	I	1 -			
City	State	Zip Code	County			
	<u> </u>		<u> </u>			
Business Contact Name						
Email Address	Telephone # Fax #					
Lindii / Nadi oco	relephone #			T UX TT		
Type of Business (check all that apply)						
☐ Rental ☐ Dealer ☐ Leasing ☐ Fleet Management ☐ Private Service Bureau ☐ Other (	(explain)					
Owner/Corporation Name (if different from business name)						
Owner/Corporation Address				Telephone #		
City	State	Zip Code	County			
1. If this is an INDIVIDUAL ownership, complete (a) below. If a PARTNERSHIP, complete a section for each p	partner. If a	CORPORATIO	ON, compl	ete a section for each officer or		
director. If additional space is needed, attach a separate page.						
a) Name Date of Birth		Title				
Residence Address						
1)))						
b) Name Date of Birth		Title				
Residence Address						
Nesidefide Address						
c) Name Date of Birth		Title				
Residence Address						
2. If you are required by law to collect sales tax, provide your NYS Sales Tax Number:						
3. What is your Federal Employer Identification Number (required by New York State Tax Law if you have employees)?						
4. Are you participating in the NYS Dealer Plate Issuance Program?  Yes No						
SECTION 2						
1. How many years has the owner or one principle in the company been in business?						
2. Have you had any businesses licensed by the Department of Motor Vehicles that had their licenses suspended or revoked, or that are currently pending						
or under appeal?  Yes No						

PSP-1D (10/22) Page 1 of 4

1. If your business is regulated by any other government agency in NYS, is your license or registration valid and in effect at this time? Yes No  Has your New York business license or business registration ever been suspended or revoked in the past five years? Yes No  If "Yes", give dates and details:  2. If your business is regulated by a government agency in a state other than New York, identify the state and provide your license or registration number, if any.				
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State License or Registration Number				
3. Has any owner, partner or officer of this company had any business license, registration or certification denied, suspended or revoked in New York State, including matters now on appeal?   Yes  No				
If "Yes", has it been at least one year since your case was resolved with New York State DMV?				
If "Yes", provide the person's name and address and the type of business. Also, specify the action that was taken against the business and the date the action was taken:				
4. Has any owner, any member of the partnership, or an officer or director of the corporation been convicted of, or forfeited bail for, a misdemeanor or felony,				
at any time? Yes No				
If "Yes", provide the person's name and date of birth, the conviction date, penalty, nature of the offense and name of the court:				
5. Has any licensing or certification organization, including government agency, ever determined that you committed misconduct, unprofessional conduct, or negligence, that				
resulted in criminal charges?    Yes    No				
If "Yes", explain:				
6. Have you ever been denied an application and/or license by NYS DMV? Yes No				
If "Yes", give reason and date:				
7. Have you ever been convicted of a criminal offense (felony or misdemeanor)? Yes No				
If "Yes", explain:				
8. Do you have an arrest or criminal accusation currently pending against you?				
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SECTION 4		
1. We need the following information to help determine the restimate of the number of registrations (for each appropri		* * *
Passenger (PAS multiples of 25)	Commercial (COM multiples of 25)	Trailer (TRL multiples of 25)
Light Trailer (LTR multiples of 25)	☐ Motorcycle (MOT multiples of 25)	Boat (BOT multiples of 25)
ATV (ATV multiples of 25)		
2. How many of the following plates are assigned to your fa	cility? Dealer plates	Transporter plates
What is the expiration date of your dealer and transporter	plates? / / (Month/Day/Year)	
3. To establish an inventory of security documents, includir you expect to have in a month. Remember to include ren		stration transactions using windshield registration stickers ue a plate. (multiples of 100)
Trailer, light trailer, ATV and motorcycle registrations, and do you expect to have in a month?		plate sticker. How many of these registration transactions
4. How many of the following documents/plates do you exp	ect to use in a typical month? FS-6T (multiples of 50	): In-transit Permits (multiples of 25):
SECTION 5		
under Section 210.45 of the Penal Code.  Pursuant to Vehicle and Traffic Law Section 392, a the Department or in any proof or statement in wri  I affirm that all statements made by me on this form a me in connection with this application are subject to of this application.	ting in connection with such an application share true, complete and correct to the best of my	nall be guilty of a misdemeanor.  knowledge. I understand all statements made by
Name of Applicant (Pla	ease Print)	Date of Birth
	Residence Address	
X		
<del></del>	Signature of Applicant (Sign Name in Full)	
Title		Date
Section 206 of the New York State Vehicle and T of agents, without a hearing, at any time.	raffic Law allows the Commissioner of Motor V	Vehicles to appoint, deny or cancel the appointment
Return the completed application to:		
Partnering Programs New York State Department of Motor of Empire State Plaza Room 322 Albany, NY 12228	Vehicles	
If you have any questions about this application,	please call the Partnering Unit at (518) 474-714	7.

SECTION 6
CHECKLIST OF REQUIREMENTS TO APPLY TO BE A PRIVATE SECTOR PARTNER:
☐ Did you answer all questions on the application?
Remember to provide a copy of the New York State Department of State Filing Receipt that allows you to conduct
business in New York (not necessary if your business has a Facility Number issued by NYS DMV).
☐ If you have a Facility Number issued by DMV's Vehicle Safety Division, your company name, address and the
officers listed on this application MUST match those on DMV's Facility File. If you are not sure what owner information is
listed on your dealer license, contact the Freedom of Information office at (518) 473-0967.
DMV's Division of Field Investigation (DFI) staff will review security plans for plates and documents at your business before
your application is approved. Please review the below list of DFI security standards to ensure that you comply before you
submit your application.
☐ A copy of the driver's license (NY or out of state) of the owner/officers of the company listed above.
Has it been at least one year since any cases, violations, appeals or hearings have been resolved with DMV?

## **SECTION 7 - PARTNERING PROGRAMS SECURITY REQUIREMENTS**

## BASIC SECURITY REQUIREMENTS FOR PARTICIPATION IN THE NYS DEPARTMENT OF MOTOR VEHICLES PRIVATE SECTOR PARTNER PROGRAM

Listed below are the security requirements for participation in the New York State Department of Motor Vehicles Private Sector Partnering (PSP) Program.

- 1. Must install and maintain an adequate security system (physical and electronic) to deter unauthorized access to the secure area where DMV supplies are stored.
- 2. Facility must have a secure document storage container, large enough to deter unauthorized removal from the facility, to store all security items (license plates, plate stickers, vehicle registrations, receipts (form FS-6T)).
- 3. Storage container must be located at the address that is listed on your NYS dealer license.
- 4. Secure document storage container must be located at the address listed on facilities NYS dealers license.
- 5. The secure document storage container must be in an area limited to authorized personnel and that cannot be accessed by the public.
- 6. The facility must store DMV funds in a secure, locked filing cabinet or combination safe. DMV funds can be stored with DMV supplies in the same locking filing cabinet or combination safe.
- 7. Processing terminal must be located at the address listed on facilities NYS dealers license.
- 8. The processing terminal must be in an area limited to authorized personnel and that cannot be accessed by the public.
- 9. The processing monitor must not be visible to the public or unauthorized employees and must be located at the address listed on your NYS dealers license.
- 10. Security documents must not be left unattended.

## PHYSICAL SECURITY DURING NON-BUSINESS HOURS

- 1. The processing equipment must be shut down after hours.
- 2. Areas within the facility and on the outside perimeter, included but not limited to doorways, alleyways, parking lots, must be illuminated.
- 3. All documents and plates must be placed in the locked permanent storage container or safe.
- 4. The safe and/or storage container must be secured and locked.
- 5. All windows and doors must be locked.
- 6. Alarm system must be activated.