



PLEASE PRINT CLEARLY

The federal Transportation Security Administration requires all drivers who are applying for a hazardous materials endorsement to complete and sign this form. Be sure to provide all information requested on pages 1 and 2. Mail the completed application and a copy of your legal status document(s) to HazMat Unit, NYS DMV, 6 Empire State Plaza, Albany, NY 12228.

SECTION I - PERSONAL INFORMATION

I certify that I meet the federal medical requirements in 49CFR Part 391 and that I have a valid USDOT Medical Examiner's Certificate. [ ] Yes [ ] No. NOTE: If you cannot answer YES or you do not have a valid Medical Examiner's Certificate, do not complete this application because you are not eligible for a HazMat endorsement.

License ID No: I am applying for a: [ ] HazMat [ ] Combination HazMat & Tanker. TYPE OF APPLICATION [ ] New [ ] Renewal [ ] Transfer. CDL Number (if available) State. LAST NAME FIRST NAME MIDDLE NAME SUFFIX. DATE OF BIRTH WEIGHT (LBS) EYE COLOR HAIR COLOR HEIGHT SEX. PREVIOUS NAME USED (if any): LAST NAME FIRST NAME MIDDLE NAME SUFFIX. Country of Birth City of Birth State of Birth.

SECTION II - ADDRESSES

ADDRESS WHERE YOU GET YOUR MAIL - Include Street Number and Name, Rural Delivery and/or box number, or PO box number. ADDRESS WHERE YOU LIVE - IF DIFFERENT FROM MAILING ADDRESS (DO NOT GIVE P.O. BOX NUMBER). Home Phone Work Phone Cell Phone E-Mail Address. PREVIOUS ADDRESS WHERE YOU LIVED (DO NOT GIVE P.O. BOX NUMBER).

SECTION III - CITIZENSHIP

Are you a U.S. Citizen? [ ] Yes [ ] No. COUNTRY OF CITIZENSHIP. NATURALIZATION DATE (if applicable). ALIEN REGISTRATION NO. (if you are a legal alien or a naturalized citizen). If born abroad to U.S. citizens, check the State Department Form Number [ ] FS-240 [ ] FS-545 [ ] DS-1350. Legal Status Document Type Assigned Number Expiration Date (if applicable).

ACCEPTABLE DOCUMENTS FOR PROOF OF LEGAL STATUS

- ◆ U.S. Passport (not expired)
◆ Certified copy of a birth certificate filed with a State Office of Vital Statistics or equivalent agency in the applicant's state of birth, Puerto Rico, Virgin Islands, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands
◆ Consular Report of Birth Abroad issued by the U.S. Department of State (Form FS-545 or DS-1350)
◆ Certificate of Naturalization issued by the U.S. Department of Homeland Security (Form N-550 or N-570)
◆ Certificate of Citizenship issued by the U.S. Department of Homeland Security (Form N-560 or N-561)
◆ Permanent Resident Card (not expired) issued by USCIS or INS (Form I-551)

SECTION IV - EMPLOYMENT HISTORY

1. Have you undergone or are you undergoing a DHS (including TSA) security threat assessment? [ ] Yes [ ] No. If yes: Name of program (e.g., Aviation Worker, Certified Cargo Screening, Indirect Air Carrier, TWIC, FAST, MMD, etc.):
2. Have you had or do you have a DHS/TSA credential (e.g., Aviation Worker, Certified Cargo Screening, Indirect Air Carrier, TWIC, FAST, MMD, etc.)? [ ] Yes [ ] No. If yes: Type of credential: Credential reference number:
NAME OF YOUR CURRENT EMPLOYER EMPLOYER'S PHONE NO. ADDRESS OF YOUR CURRENT EMPLOYER City or Town State Zip Code Country

## Federal Disqualifying Criminal Offenses for Hazardous Materials Endorsement

**NOTE:** New York State's standards for disqualification are more stringent than the federal standards. Refer to NYS DMV form HAZ-11 for more information.

### Part A: Permanently Disqualifying Criminal Offenses

A driver will be permanently disqualified from holding a hazmat endorsement if the driver was convicted (or found not guilty by reason of insanity) for any of the following felonies:

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| <ul style="list-style-type: none"> <li>a) Espionage</li> <li>b) Sedition</li> <li>c) Treason</li> <li>d) A federal crime of terrorism as defined in 18 U.S.C. 2332b(g), or a state law that is comparable</li> <li>e) A crime involving a transportation security incident</li> <li>f) Improper transportation of a hazardous material under 49 U.S.C. 5124 or a state law that is comparable</li> <li>g) Unlawful possession, use, sale, distribution, manufacture, purchase, receipt, transfer, shipping, transporting, import, export, storage of, or dealing in an explosive or explosive device</li> </ul> | <ul style="list-style-type: none"> <li>h) Murder</li> <li>i) Making any threat, or maliciously conveying false information knowing the same to be false, concerning the deliverance, placement, or detonating of an explosive or other lethal device in or against a place of public use, a state or government facility, a public transportation system, or an infrastructure facility.</li> <li>j) Violations of the Racketeer Influenced and Corrupt Organizations Act, 18 U.S.C. 1961, et seq., or a state law that is comparable, where one of the predicate acts found by a jury or admitted by the defendant, consists of one of the offenses listed in this section</li> <li>k) Conspiracy or attempt to commit any of these crimes</li> </ul> |
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### Part B: Interim Disqualifying Offenses

A driver will be disqualified from holding a hazmat endorsement if the driver was convicted (or found not guilty by reason of insanity) within the previous seven years, or was released from prison in the last five years, for any of the following felonies:

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| <ul style="list-style-type: none"> <li>a) Unlawful possession, use, sale, manufacture, purchase, distribution, receipt, transfer, shipping, transporting, delivery, import, export of, or dealing in a firearm or other weapon</li> <li>b) Extortion</li> <li>c) Dishonesty, fraud, or misrepresentation, including identity fraud</li> <li>d) Bribery</li> <li>e) Smuggling</li> <li>f) Immigration violations</li> <li>g) Distribution of, intent to distribute, or importation of a controlled substance</li> <li>h) Arson</li> </ul> | <ul style="list-style-type: none"> <li>i) Kidnapping or hostage taking</li> <li>j) Rape or aggravated sexual abuse</li> <li>k) Assault with intent to murder</li> <li>l) Robbery</li> <li>m) Fraudulent entry into a seaport as described in 18 U.S.C. 1036, or a comparable State law</li> <li>n) Violations of the Racketeer Influenced and Corrupt Organizations Act, 18 U.S.C. 1961, et seq., or a state law that is comparable, other than the violations listed in item (j) of Part A above ("Permanently Disqualifying Criminal Offenses")</li> <li>o) Conspiracy or attempt to commit any of these crimes</li> </ul> |
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### Part C: Under Want or Warrant

A driver will be disqualified from holding a hazmat endorsement if the driver is wanted or under indictment in any civilian or military jurisdiction for a felony listed under Part A or Part B, until the want or warrant is released.

### Part D: Read each statement below, then check the appropriate box.

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| 1. Have you been convicted, or found not guilty by reason of insanity, of any disqualifying crime listed in Part A, in any jurisdiction, military or civilian, during the 7 years before the date of this application? | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 2. Have you been released from incarceration in any jurisdiction, military or civilian, for committing any disqualifying crime listed in Part A, during the 5 years before the date of this application?               | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 3. Have you been convicted, or found not guilty by reason of insanity, of any disqualifying crime listed in Part B, in any jurisdiction, military or civilian?   | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 4. Are you wanted or under indictment for any disqualifying crime listed in Part C?  | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 5. Have you ever been adjudicated as lacking mental capacity, or involuntarily committed to a mental institution?  | <input type="checkbox"/> Yes <input type="checkbox"/> No |

### READ THE INFORMATION AND ANSWER THE QUESTIONS ABOVE BEFORE SIGNING BELOW.

**CERTIFICATION:** I have disclosed any and all information with this application related to disqualifying crimes committed, as required by federal regulation 49 CFR 1572.5(b). I understand my continuing obligation to disclose to TSA within 24 hours if I am convicted or found not guilty by reason of insanity of any disqualifying crime, or if I am adjudicated as a mental defective or committed to a mental institution, while I have a hazardous materials endorsement for a CDL. The information I have provided on this application is true, complete and correct to the best of my knowledge and belief, and is provided in good faith. I understand that a knowing and willful false statement, or an omission of a material fact, on this application can be punished by fine or imprisonment or both (see section 1001 of Title 18 United States Code), and may be grounds for denial of a hazardous materials endorsement.

SIGN HERE **X** \_\_\_\_\_ DATE \_\_\_\_\_

