



**Department of
Motor Vehicles**

INSURANCE INFORMATION

&

ENFORCEMENT SYSTEM

(IIES)

Dial-In Display Supplement

THE INSURANCE INFORMATION AND ENFORCEMENT SYSTEM (IIES)

Introduction

IIES is the NYS Department of Motor Vehicles Insurance Information and Enforcement System. It is based on Chapter 678 of the Laws of 1997, as amended by Chapter 509 of the Laws of 1998.

IIES Goals

- Identify and remove uninsured vehicles from NYS roadways.
- Maintain a comprehensive database (IIES) of Motor Vehicle Liability Insurance for all NY registered vehicles.
- Require two-way data communication links between the insurance industry and DMV for faster reporting and checking on insurance information.
- Verify Insurance ID cards when an electronic confirmation has not been received.
- Provide additional checks on for-hire vehicles such as taxis, liveries and buses.

IIES Database

IIES is a separate database designed specifically to manage information from insurers. The IIES database contains the most current and complete insurance information that DMV has on a vehicle. This includes insurance cancellations, new businesses, inquiries, insurance verifications, etc. Insurance-related suspension and revocation information will appear on the registration and license files and on the IIES database as well. Old, inactive information will remain on the registration and license files. This information is not present on the IIES database.

Standard Dial-In registration and license searches display all suspensions and revocations (including insurance related suspensions and revocations) on DMV's registration and license files respectively. **If you need to know whether a person currently has a valid registration or license, you should continue to perform the standard Dial-In registration or license search.**

IIES File Searches Using Dial-In

This is a sample of the search menu.

- ENTER SEARCH INFORMATION BELOW -

**** LICENSED DRIVER FILE SEARCH ****

MOTORIST IDENTIFICATION NUMBER:

**** OR ****

NAME: _____ **DATE OF BIRTH:** _____ **SEX:** _____

**** VEHICLE REGISTRATION AND PLATE FILE OR INSURANCE ACTIVITY FILE SEARCH**

PLATE NUMBER: _____ **TYPE:** _____ **INS:** _____

**** OR ****

NAME: _____ **DATE OF BIRTH:** _____ **SEX:** _____ **INS:** _____

**** VEHICLE IDENTIFICATION NUMBER FILE OR INSURANCE ACTIVITY FILE SEARCH**

VEHICLE IDENTIFICATION NUMBER: _____ **YEAR:** _____ **MAKE:** _____ **INS:** _____

TO SIGN OFF OF YOUR ACCOUNT, PLEASE ENTER AN 'X' HERE: _

FOR PASSWORD MODIFICATION TRANSACTION PLEASE ENTER AN 'X' HERE: _

You can search the Insurance database by plate #, name or VIN.

To display IIES data, follow these steps:

1. Enter search information as you would when performing a standard Registration or VIN search.
 2. Tab to the field on the right side of the INS field and enter an X.
 3. Double-check your accuracy.
 4. Press enter.
- If only one vehicle matches your search information, the expanded IIES record will appear.
 - If multiple records match your search information, a list of partial records will appear. Choose the vehicle that corresponds and expand the record as you do when performing a standard registration search.

Remember, when you want an IIES display, put an X to the right of the INS field. Also, be sure that you put the X next to the INS field that is on the same line as your search information.

Insurance Record Layout

This is an example of an insurance record. There are three major parts to the record:

1. Vehicle Identification
2. Current Insurance Information
3. Insurance Activity Record (s)

- The insurance record will be displayed by vehicle (VIN, YR & Make).
- Most of the major record elements are labeled with a legend so they are easier to understand.
- Some fields will be blank or contain "0".

VEHICLE: 1FTDE24N1JHB38736	89 FORD	PLATE: 210TEST	TYPE: 16	
NAME: SAMPLE, DAVID, A	DOB: 07/20/67	GENDER: MALE	CLIENT ID: 672017769	← Vehicle Identification
	MAPLE ST		ANYTOWN NY 12377	
CURRENT INS: 328 STATE FARM MUT AUTO CO		EFF DATE: 02/18/2000		← Current Insurer
REF: 000000000000	ACTIVITY: NEW BUSINESS		EFF DATE: 02/18/2000	← Insurance Activity Record
INS: 328 STATE FARM MUT AUTO CO		POL#: CBD523042		
SUB/SENT: 07/08/2000	SOURCE: EDI	REASON: NONE		
DOC ID: NONE				

The Vehicle Identification Fields

First Line: **VEHICLE-** the VIN, year (last two digits), and make of the vehicle

PLATE- plate number

TYPE- the numeric plate class

Second Line: **NAME-** the name as it appears on the registration file, separated by commas or semi-colons. If it is a long name (i.e., two names or a corporation) it can overflow to the third line.

DOB- the date of birth of the registrant; if a corporation, this field will be blank

GENDER- male, female or corporation

CLIENT ID- an individual's driver license or non-driver ID number, or a Corporate Federal Tax Number, if available

Third Line: The address (street, city, zip) will appear after the name overflow area

Current Insurance Information Fields (One line)

Current Ins- This is the current insurance company for this vehicle. This field contains the 3-digit insurance company code and the name of the company. Example: 011 ALLSTATE INS CO. If the current insurer is unknown, the label "Current Insurance Unknown" will appear. This may not mean that the vehicle is uninsured. It means that no insurance company transaction indicating insurance has been currently posted.

Eff Date- This is the effective date of coverage for the company shown. It is MM/DD/YYYY. If "Current Insurer is Unknown" displays, no date will appear.

Insurance Activity Record(s)

The insurance activity record contains nine fields that are displayed on four lines. The type of insurance activity displayed determines whether the fields are filled with data. Insurance activities are displayed in effective date order. If two activities have the same effective date, the activity with the most recent submission or sent date (SUB/SENT) will appear first.

First Line: **REF-** A 12-digit reference number that ties cases on the IIES database together (such as a New Business and a Cancellation). This field may contain zeros.

ACTIVITY- There can be one of 40 insurance activities. Each is spelled out. A list of the activities and a description of each is attached to this document.

Example: NEW BUSINESS. See APPENDIX A for a list of Activity codes and a description of each.

EFF DATE- This is the effective date of the activity or when it goes into effect. Displayed as MM/DD/YY. This field will always be filled.

Second Line: **INS-** The insurance company numeric code and company name involved in the activity. This field may be blank. Example: 011 ALLSTATE INS CO.

POL#- The insurance policy number. This is a 30-position field. This field may be blank.

Third Line: **SUB/SENT-** The submission date or mailing date of the activity shown. It will be displayed as MM/DD/YYYY. It may contain zeros.

SOURCE- The source of the activity. Four codes can appear in this field:

- IO- Generated by a DMV issuing office.
- ISB- Generated by the DMV Insurance Services Bureau (ISB).
- SYS- Generated by the automated insurance system.
- EDI- Submitted by the insurance company and received electronically.

REASON- Indicates the reason for the given transaction. Will appear for letter, suspension and revocation type activities. Reason codes will be filled as appropriate, or say "NONE". This is a 35-position field. See APPENDIX B for the list of Reason codes and a description.

Fourth Line: **DOC ID-** A unique 10-digit activity reference number. This number will be printed and bar coded on letters and suspension notices, and can be used to access other DMV systems. The field may say "NONE".

Three activities can be displayed on the initial screen, and as many as four on subsequent screens. If additional activities are available, the code IMR (Insurance More) will appear on the bottom of the display.

Appendix A

IIES Activity Codes

<u>Activity</u>	<u>Description</u>
LICENSE CLEARANCE	License has been cleared.
CIVIL PENALTY- PAID	The registrant has paid the civil penalty associated with a chargeable lapse.
CIVIL PENALTY- REJECTED	The civil penalty submitted was not accepted and was returned to the registrant.
LETTER-DEALER/TRANS MAN VERIF	A mandatory verification letter for a Dealer/Transporter registration was sent to the insurance company by ISB.
EXPIRED REGISTRATION	Registration has expired.
INSURANCE PROOF- ACCEPTABLE	Registrant has provided acceptable paper proof of insurance.
INSURANCE PROOF-UNACCEPTABLE	Registrant has provided unacceptable paper proof of insurance.
LICENSE SUSP DEF TEM	A definite license suspension has been terminated. The suspension period has been served.
LICENSE SUSP INDEF-RESC	An indefinite license suspension has been rescinded.
LETTER- NO INSURER VERIFICATION	A letter has been sent to the registrant stating that no insurer has verified insurance in response to a mandatory verification request (MVF).
INITIAL LOAD	Insurance information on the vehicle was received during the initial load of a company's book of business.
LICENSE SUSP AMEND	License suspension amended.
LICENSE SUSP DEF	Definite license suspension
LICENSE SUSP INDEF	Indefinite license suspension
LICENSE SUSP (NIS) INDEF	Indefinite license suspension. The insurance company submitted an electronic "no insurance" transaction (NIS).
LICENSE SUSP (NIV) INDEF	Indefinite license suspension. No insurer response to verify coverage.
LETTER	First Inquiry letter
LETTER- REJECTED	Rejection letter sent. It contains the reason the proof provided was unacceptable.
LETTER- RESCINDED	Indicates that a rescission of inquiry letter has been sent.
LETTER- SECOND	Indicates that a second inquiry letter has been sent. The proof sent in response to the first inquiry was unacceptable.
MANDATORY VERIFICATION REQUEST	Indicates that a mandatory verification transaction has been sent to the insurer.
NEW BUSINESS	Electronic new business transaction or new insurance coverage.
NO INSURANCE	Indicates that a registrant does not have insurance with a specified company. The insurer sent an electronic NIS transaction.
OTHER PROOF - ACCEPTABLE	Indicates that a registrant has provided acceptable proof other than insurance.
OTHER PROOF - UNACCEPTABLE	Indicates that proof other than insurance was unacceptable.
PLATE SURRENDERED BULK	Indicates that the plate was surrendered and processed by the central office.
PLATE SURRENDERED VOLUNTARY	Plate surrender
RESCIND ELECTRONIC CANCELLATION	The insurance company rescinded the cancellation (XLC) it had previously filed.
REGISTRATION	The vehicle has been registered and if the vehicle is for-hire, it indicates registration renewals as well.
RESCIND ELECTRONIC PROOF	The insurance company rescinded the proof of insurance (NBS or REI) it had previously filed.
REINSTATEMENT	The insurer has submitted an electronic reinstatement transaction.
REG SUSP INDEF- RESC	An indefinite registration suspension has been rescinded.
REG REVOCATION-RESC	A revocation has been rescinded. Applies to for-hire vehicles only.
REG REVOCATION	Registration revocation was issued. Applies to for-hire vehicles only.
REG SUSP AMEND	Registration suspension amended.
REG SUSP DEF	Definite registration suspension was issued.
REG SUSP INDEF	An indefinite registration suspension was issued.
REG SUSP (NIS) INDEF	An indefinite registration suspension was issued due to an insurer filing a "no insurance" (NIS) response to DMV's mandatory verification request (MVF).
REG SUSP (NIV) INDEF	An indefinite registration suspension was issued because the insurance company did not respond to DMV's mandatory verification request (MVF).
UNDELIVERABLE	Correspondence was returned to DMV as undeliverable.
VEHICLE REPLACEMENT	Vehicle has been replaced on the registration record.
CANCELLATION	Insurance company has reported a termination of insurance.

Appendix B
IIES Reason Codes

<u>Reason Codes</u>	<u>Description</u>
VEHICLE SOLD	Acceptable proof was provided confirming the sale of the vehicle.
VEHICLE REGISTERED OUTS	Acceptable proof submitted to confirm the vehicle was registered in another state.
VEHICLE REPOSSESSED	Acceptable proof of repossession submitted.
VEHICLE IMPOUNDED	Acceptable proof of impound submitted.
VEHICLE STOLEN	Acceptable proof submitted to confirm vehicle was stolen.
REGISTRANT DECEASED	Death certificate and proof of insurance received.
HOSPITALIZATION EXEMPTION	Acceptable proof provided to confirm that the registrant was hospitalized and unable to fulfill insurance requirements.
SPOUSAL THEFT	Acceptable proof received verifying this situation.
NEGLIGENCE/MALFEASANCE	Acceptable proof received that insurance cancellation or lack of insurance was due to the negligence or malfeasance of someone other than the registrant.
OTHER- SEE COMMENTS	Other proof accepted-see activity detail.
VEHICLE JUNKED	Acceptable proof submitted to confirm the vehicle was junked.
PLATES STOLEN	Acceptable proof submitted to confirm the plates were stolen.
COMMERCIAL STORAGE	Acceptable proof submitted to confirm that the vehicle was in commercial storage during the insurance lapse period.
REPAIR SHOP	Acceptable proof submitted to confirm that the vehicle was in a licensed repair shop during the insurance lapse period.
REJECTED: CANCELLING CO	Insurance ID card provided was from the canceling company, and the effective date of coverage was before the termination date.
NO PROOF SUBMITTED	No proof was attached to correspondence.
REJECTED: VEH REG OUTS	Vehicle is claimed to be registered in another state. No acceptable proof provided.
REJECTED: INS CO NYS	Insurance company is not licensed to write auto liability coverage for NY registered vehicle.
REJECTED: VEH SOLD	Acceptable proof confirming the sale of the vehicle has not been provided. It must include the date of sale, the name of the buyer and complete vehicle information.
REJECTED: VEH REPOSSESSED	Vehicle is claimed to be repossessed. A copy of the repossession notice or letter from the lending institution giving date of repossession, registrant's name and vehicle information is required.
REJECTED: VEH IMPOUNDED	Vehicle is claimed to be impounded. A copy of the impound receipt showing date, length of impoundment and that the vehicle has not been recovered is required.
REJECTED: VEH STOLEN	Vehicle claimed to be stolen, but an acceptable theft report has not been provided.
LAPSE UNDER 91 DAYS	A lapse of insurance under 91 days was established from proof previously submitted. The plates must be surrendered or civil penalty paid (if eligible).
LAPSE 91 DAYS OR MORE	A lapse of insurance over 90 days was established from proof previously submitted. The plates and driver's license must be surrendered.
REJECTED: REGISTRANT DECEASED	No copy of death certificate, insurance proof or plate surrender provided.
REJECTED: INFORMATION MISSING	Proof submitted did not contain all necessary information.
REJECTED: DIFFERENT VEHICLE	Proof submitted was for a different vehicle than the vehicle described on the letter or suspension or revocation notice.
REJECTED: PROOF ILLEGIBLE	Proof submitted was illegible.
REJECTED: PREMIUM NOTICE/BILL	Premium notices or bills are not acceptable proof of insurance.
REJECTED: HOSPITALIZATION	Hospitalization proof was rejected.
REJECTED: SPOUSAL THEFT	No acceptable proof submitted. A copy of the theft report from police or insurance company showing dates is needed. If vehicle is recovered, a copy of current insurance ID card must be included.
REJECTED: NYAIP CARD	New York Auto Insurance Plan Card temporary insurance ID card (ICC 999) was submitted as proof. An insurance ID card from the insurance company assigned by the Plan must be provided.

Appendix B IIES Reason Codes (continued)

<u>Reason Codes</u>	<u>Description</u>
REJECTED: OTHER	The proof submitted did not fit any of the rejection codes. A manual letter of explanation was sent.
REJECTED: REQUIRES EDI TRANS	Electronic proof from an insurance company must be submitted. Paper proof of insurance rejected.
REJECTED: VEH JUNKED	Proof submitted for junked vehicle has been rejected.
REJECTED: PLATES STOLEN	Stolen plates proof is inadequate.
REJECTED: VEH TOTALED	Inadequate proof submitted to confirm the vehicle was totaled.
REJECTED: REPAIR SHOP	Repair shop documents submitted were inadequate.
REJECTED: SECURED STORAGE	Vehicle storage documents submitted were inadequate.
REJECTED: 2D BAR CODED ID CARD	Bar coded ID card submitted does not meet acceptable standards for insurance ID cards.
REJECTED: LETTER AS INS PROOF	Paper proof of insurance other than a 2D bar coded insurance ID card was rejected.
REJECTED: MULTIPLE CANCELLATIONS	Insurance company must rescind the incorrect electronic filing.
REJECTED: EXPIRED LEASE	Insurance company must rescind the incorrect electronic filing.
REJECTED: VEHICLE SHIPPED OOC	Insurance company must rescind the incorrect electronic filing.
REJECTED: MILITARY DEPLOYMENT	Military deployment documents submitted were inadequate.
REJECTED: TEMPORARY NON-RESIDENT	Temporary non-resident documents submitted were inadequate.
REJECTED: REG COMPLIANCE REQ	The plates must be surrendered or reported lost or stolen.
REJECTED: REGISTRANT DECEASED/REG EXP	The deceased registration has expired and is no longer valid. The vehicle's ownership must be transferred.
REJECTED: INS/REG NAME DIFF	The name on the proof does not match the name on the registration.
REJECTED: 318-13 REQUEST DENIED	Documents submitted for an exemption were inadequate.
INITIAL LOAD	Insurance information on the vehicle was received during the initial load of a company's book of business.
OTHER PROOF ACCEPTABLE	Indicates that acceptable proof other than insurance was provided.
NEW BUSINESS	The insurance company has filed an electronic new business transaction.
RESCIND ELECTRONIC CANCELLATION	The insurance company has rescinded a cancellation (XLC) that it had previously filed.
REGISTRATION	Vehicle has been registered and if the vehicle is for-hire, it indicates registration renewals as well.
RESCIND ELECTRONIC PROOF	The insurance company rescinded proof of insurance (NBS or REI) that it had previously filed.
REINSTATEMENT	The insurer has submitted an electronic reinstatement of insurance transaction.
CANCELLATION	Insurance company has electronically reported a termination of insurance.

Appendix C Sample Insurance Records

Sample Record #1- Insurance

- This is a basic insurance record.
- This sample record shows a current registration, for which DMV has not received, or matched on, any insurance information.
- This does not mean that the vehicle is uninsured. In this situation, either DMV is awaiting insurance transactions or DMV will check with the registrant to clarify insurance coverage for this vehicle.

VEHICLE: 2345678913456789987 98 CHEVR	PLATE: 12434ABC	TYPE: 16	
NAME: SAMPLE, JANE, S	DOB: 04/03/65	GENDER: FEMALE	CLIENT ID: 123456789
	29 WASHINGTON ST	RICKSVILLE NY	22123
CURRENT INS: CURRENT INSURANCE UNKNOWN			

Sample Record #2 - Insurance

- This record shows a new business transaction from ALREADY INS CO.
- Current insurer is ALREADY, effective 11/05/1999.

VEHICLE: 2345678913456789987 98 CHEVR	PLATE: 12434ABC	TYPE: 16	
NAME: SAMPLE, JANE, S	DOB: 04/03/65	GENDER: FEMALE	CLIENT ID: 123456789
	2338 CHESTNUT ST	SAMSONVILLE NY	22323
CURRENT INS: 711 ALREADY INS CO		EFF DATE: 11/05/1999	
REF: 000000000000	ACTIVITY: NEW BUSINESS	EFF DATE: 11/05/1999	
INS: 711 ALREADY INS CO	POL#: 2349870087		
SUB/SENT: 09/04/2000	SOURCE: EDI	REASON: NONE	
DOC ID: NONE			

Sample Record #3 - Registration

- This record shows a registration record

VEHICLE: DMVTESTDMV003 80 CHEVR	PLATE: DMV003	TYPE: PASSENGER	
NAME: SAMPLE, JOHN, S	DOB:	GENDER: MALE	CLIENT ID:
	PO BOX 2306	ALBANY NY	22323
CURRENT INS: 711 ALREADY INS CO		EFF DATE: 03/01/2017	
REF: 549243634880	ACTIVITY: REGISTRATION		EFF DATE: 03/01/2017
INS: 711 ALREADY INS CO		POL#: DIALIN TEST REG	
SUB/SENT: 01/01/2017	SOURCE: ISB	REASON: SEE COMMENTS	
DOC ID: NONE			

Sample Record #4 - Registration

- This record shows a registration record with a mandatory verification

VEHICLE: DMVTESTDMV002 80 CHEVR	PLATE: DMV002	TYPE: PASSENGER	
NAME: SAMPLE, JOHN, S	DOB:	GENDER: MALE	CLIENT ID:
	PO BOX 2306	ALBANY NY	
CURRENT INS: 711 ALREADY INS CO		EFF DATE: 02/03/2017	
REF: 303141538496	ACTIVITY: MANDATORY VERIFICATION REQUEST		EFF DATE: 02/03/2017
INS: 711 ALREADY INS CO		POL#:	SUB/SENT: 03/20/2017
SOURCE: SYS	REASON: OTHER - SEE COMMENTS		
DOC ID: NONE			
REF: 303141538496	ACTIVITY: MREGISTRATION		EFF DATE: 02/03/2017
INS: 711 ALREADY INS CO		POL#: DIALIN TEST 1 REG MVF	
SUB/SENT: 01/01/2010	SOURCE: ISB	REASON: OTHER - SEE COMMENTS	
DOC ID: NONE			

Sample Record #5 - Registration

- This record shows a registration record with a new business

VEHICLE: DMVTESTDMV007 80 CHEVR	PLATE: DMV007	TYPE: PASSENGER	
NAME: SAMPLE, JOHN, S	DOB: 09/09/83	GENDER: MALE	CLIENT ID: 198046611
	PO BOX 2725	ALBANY NY	
CURRENT INS: 711 ALREADY INS CO		EFF DATE: 05/05/2014	
REF: 000000000000	ACTIVITY: NEW BUSINESS		EFF DATE: 05/05/2014
INS: 711 ALREADY INS CO		POL#: 000000123	
SUB/SENT: 05/05/2014	SOURCE: ISB	REASON: NONE	
DOC ID: NONE			
REF: 331226047424	ACTIVITY: REGISTRATION		EFF DATE: 05/05/2014
INS: 711 ALREADY INS CO		POL#: 000000123	
SUB/SENT: 06/30/2014	SOURCE: ISB	REASON: NONE	
DOC ID: NONE			

Sample Record #6 - Insurance

- This record shows a cancellation and new business.
- When the CANCELLATION was submitted by ALREADY a reference # (or case) was assigned. The NEW BUSINESS was then sent by CENTURYNOW INSURANCE. Because the effective date of new insurance indicated no chargeable lapse in coverage, no additional action was necessary by the insurance program. The same reference # is used with the NEW BUSINESS and the CANCELLATION to tie them together.
- Current Insurer is CENTURYNOW INSURANCE, effective 09/05/2000.

VEHICLE: 2345678913456789987 98 CHEVR	PLATE: 12434ABC	TYPE: 16	
NAME: SAMPLE, JANE, S	DOB: 04/03/65	GENDER: FEMALE	CLIENT ID: 123456789
	29 SUNNYSIDE DRIVE	HARRIVILLE NY	22323
CURRENT INS: 739 CENTURYNOW INSURANCE		EFF DATE: 09/05/2000	
REF: 002349650187	ACTIVITY: NEW BUSINESS	EFF DATE: 09/05/2000	
INS: 739 CENTURYNOW INSURANCE	POL#: ABF789032		
SUB/SENT: 09/27/2000	SOURCE: EDI	REASON: NONE	
DOC ID: NONE			
REF: 002349650187	ACTIVITY: CANCELLATION	EFF DATE: 09/05/2000	
INS: 711 ALREADY INS CO	POL#: 2349870087		
SUB/SENT: 09/12/2000	SOURCE: EDI	REASON: NONE	
DOC ID: NONE			

Sample Record #7 - Insurance

- In this sample, an inquiry letter was sent to the registrant in response to a CANCELLATION. The same reference # is used with the LETTER and the CANCELLATION to tie them together.
- The registrant responded to the letter with acceptable insurance proof.
- We expect that "CenturyNow" will send us a "New Business" transaction at some point in the future.

Notes:

1. The SUB/SENT date for the "INSURANCE PROOF ACCEPTABLE" activity is the date the proof was accepted and entered on the file.
2. The SUB/SENT date for the "LETTER" activity is the date the letter is mailed.
3. Note that a DOC ID number is generated with letter activity. A DOC ID number is printed and bar coded on DMV letters.
4. CENTURYNOW INSURANCE is the current insurer.
5. Another page for this transaction would be indicated by > IMR.

VEHICLE: 2345678913456789987 98 CHEVR	PLATE: 66434ABC	TYPE: 76
NAME: SAMPLE;CARPET;AND TITLE;INC	DOB: 29 POPLAR CIRCLE	GENDER: CORP
		CLIENT ID: 000000000 TOMSVILLE NY 22323
CURRENT INSURANCE: 739 CENTURYNOW INSURANCE		EFF DATE: 11/08/2000
REF: 002349650187	ACTIVITY: INSURANCE PROOF ACCEPTABLE	EFF DATE: 11/08/2000
INS: 739 CENTURYNOW INSURANCE	POL#: CE789032622788	
SUB/SENT: 12/20/2000	SOURCE: ISB	REASON: NONE
DOC ID: NONE		
REF: 002349650187	ACTIVITY: LETTER	EFF DATE: 11/05/2000
INS: 711 ALREADY INS CO	POL#: 2349870087	
SUB/SENT: 12/05/2000	SOURCE: SYS	REASON: NONE
DOC ID: 123456789		
REF: 002349650187	ACTIVITY: CANCELLATION	EFF DATE: 11/05/2000
INS: 711 ALREADY INS CO	POL#: 2349870087	
SUB/SENT: 11/28/2000	SOURCE: EDI	REASON: NONE
DOC ID: NONE		

Sample Record #8 - Insurance

- This sample shows an inquiry letter sent after a cancellation from ALREADY INS CO.
- "VEHICLE SOLD", which is found in the "REASON" field, is the response to the inquiry and is acceptable proof.
- The insurance file has no new information on whether the vehicle is insured, so "CURRENT INSURANCE" is marked "CURRENT INSURANCE UNKNOWN".

VEHICLE: 2345678913456789987	99 FORD	PLATE: 99834ABC	TYPE: 16
NAME: SAMPLE;CARPET;AND	DOB:	GENDER: CORP	CLIENT ID:
TILE;INC	300 POPLAR CIRCLE	TOMSVILLE NY	12323
CURRENT INSURANCE: CURRENT INSURANCE UNKNOWN			
REF: 002349650187	ACTIVITY: OTHER PROOF ACCEPTABLE	EFF DATE: 06/05/2001	
INS:		POL#:	
SUB/SENT: 07/10/2001	SOURCE: ISB	REASON: VEHICLE SOLD	
DOC ID: NONE			
REF: 002349650187	ACTIVITY: LETTER	EFF DATE: 06/27/2001	
INS:		POL#:	
SUB/SENT: 06/17/2001	SOURCE: SYS	REASON: NONE	
DOC ID: 123456789			
REF: 002349650187	ACTIVITY: CANCELLATION	EFF DATE: 06/05/2001	
INS: 711 ALREADY INS CO		POL#: 2349870087	
SUB/SENT: 06/10/2001	SOURCE: EDI	REASON: NONE	
DOC ID: NONE			

Sample Record #9 - Insurance

- In this sample, a NEW BUSINESS transaction rescinds an indefinite suspension. A letter (notice of restoration) would be sent to the registrant clearing the suspension. In this example, a letter was sent but no response was received, so an indefinite suspension was issued. The NEW BUSINESS was received on 12/08/2000, and the rescission of the suspension was then issued.
- The activities are still listed in effective date order.

VEHICLE: 2345678913456789987 89 DODGE	PLATE: JKL5	TYPE: 11	
NAME: SAMPLE, TONY, R	DOB: 04/05/67	GENDER: MALE	CLIENT ID: 678764534
	6289 MAIN ST	SUMMERVILLE NY	22323
CURRENT INSURANCE: 787 GREEN PARK INS CO		EFF DATE: 10/03/2000	
REF: 172349650111 ACTIVITY: REG. SUSP. RESC		EFF DATE: 12/15/2000	
INS:		POL#:	
SUB/SENT: 12/08/2000	SOURCE: SYS	REASON: NONE	
DOC ID: 5549872235			
REF: 172349650111 ACTIVITY: REG SUSP INDEF		EFF DATE: 12/15/2000	
INS:		POL#:	
SUB/SENT: 11/27/2000	SOURCE: SYS	REASON: NONE	
DOC ID: 5549872235			
REF: 172349650111 ACTIVITY: NEW BUSINESS		EFF DATE: 10/03/2000	
INS: 787 GREEN PARK INS CO		POL#: 998723709834ABC	
SUB/SENT: 12/08/2000	SOURCE: EDI	REASON: NONE	
DOC ID: NONE			

IMR		
REF: 172349650111 ACTIVITY: LETTER		EFF DATE: 10/03/2000
INS:		POL#:
SUB/SENT: 10/30/2000	SOURCE: SYS	REASON: NONE
DOC ID: 6789872341		
REF: 172349650111 ACTIVITY: CANCELLATION		EFF DATE: 10/03/2000
INS: 738 DONALDSON INS CO		POL#: 2349870087
SUB/SENT: 10/25/2000	SOURCE: EDI	REASON: NONE
DOC ID: NONE		

Sample Record #10 - Insurance

- This record shows that a "NEW BUSINESS" transaction may be received prior to the "CANCELLATION". The registrant's new policy was purchased with overlapping coverage.
- The reference numbers indicate that the NEW BUSINESS and the CANCELLATION were matched.
- Current insurer is GRANCO

Note: Activity records are listed in effective date order with the most current effective date first.

VEHICLE: 2345678913456789987 98 TOYTO	PLATE: 12434ABC	TYPE: 16
NAME: SAMPLE, JANE, S	DOB: 04/03/66	GENDER: FEMALE
	85-6 BUTTON LANE	CLIENT ID: 123456789
		WICKSVILLE NY 22377
CURRENT INSURANCE: 778 GRANCO INS CO		EFF DATE: 11/05/2000
REF: 000023780001	ACTIVITY: CANCELLATION	EFF DATE: 11/15/2000
INS: 738 TRANSVIEW INS CO		POL#: 2349870087
SUB/SENT: 12/21/2000	SOURCE: EDI	REASON: NONE
DOC ID: NONE		
REF: 000023780001	ACTIVITY: NEW BUSINESS	EFF DATE: 11/05/2000
INS: 778 GRANCO INS CO		POL#: CB176DFG1166921
SUB/SENT: 11/18/2000	SOURCE: EDI	REASON: NONE
DOC ID: NONE		

Sample Record #11 - Insurance

- This sample shows a DMV LETTER sent after a CANCELLATION from ALREADY Insurance. The response to the inquiry is "INSURANCE PROOF UNACCEPTABLE". A second DMV LETTER was sent. The second letter was not answered, which resulted in an indefinite suspension of the registration and, ultimately, an indefinite suspension of the driver license due to non-compliance.

VEHICLE: 23456DFW9134567899 99 ME/BE **PLATE:**BFI23 **TYPE:** 16
NAME: ACE;INTERNET;AND **DOB:** **GENDER:**CORP **CLIENT ID:**
COMMUNICATIONS;INC 302 CEDAR AVE LONESVILLE NY 12844

CURRENT INSURANCE: CURRENT INSURANCE UNKNOWN

REF: 000023780001 **ACTIVITY:** LICENSE SUSP INDEF **EFF DATE:** 12/15/2000
INS: **POL#:**
SUB/SENT: 12/02/2000 **SOURCE:** SYS **REASON:** NONE
DOC ID: 2341567456

REF: 000023780001 **ACTIVITY:** REG SUSP INDEF **EFF DATE:** 09/15/2000
INS: **POL#:**
SUB/SENT: 08/29/2000 **SOURCE:** SYS **REASON:** NONE
DOC ID: 5678901234

REF: 000023780001 **ACTIVITY:** LETTER- SECOND **EFF DATE:** 06/05/2000
INS: 711 ALREADY INS CO **POL#:**
SUB/SENT: 07/29/2000 **SOURCE:** SYS **REASON:** NONE
DOC ID: 345678912

IMR

REF: 000023780001 **ACTIVITY:** INSURANCE PROOF UNACCEPTABLE **EFF DATE:** 06/05/2000
INS: **POL#:**
SUB/SENT: 07/20/2000 **SOURCE:** ISB **REASON:** REJECTED CANCELLING CO
DOC ID: NONE

REF: 000023780001 **ACTIVITY:** LETTER **EFF DATE:** 06/05/2000
INS: 711 ALREADY INS CO **POL#:**
SUB/SENT: 07/20/2000 **SOURCE:** SYS **REASON:** NONE
DOC ID: 123456789

REF: 000023780001 **ACTIVITY:** CANCELLATION **EFF DATE:** 06/05/2000
INS: 711 ALREADY INS CO **POL#:** 2349870087
SUB/SENT: 06/28/2000 **SOURCE:** SYS **REASON:** NONE
DOC ID: NONE

Sample Record #12 - Insurance

- In this example, the registrant did not answer an inquiry LETTER after a CANCELLATION of insurance. An indefinite suspension was issued, and the registrant complied by surrendering the plates. A definite suspension was placed on the record based on the plate surrender.

```
VEHICLE: 2345678913456789987 98 DODGE           PLATE: 44DBGE34           TYPE: 76
NAME: RECORD, MARSHA   DOB: 02/21/81           GENDER: FEMALE           CLIENT ID:10390089
                        29 STATES RD                               UPTOWN NY           20023

CURRENT INSURANCE:   CURRENT INSURANCE UNKNOWN

REF: 002349650187  ACTIVITY: REG. SUSP DEF.           EFF DATE: 01/25/2002
INS:
SUB/SENT: 01/25/2002  SOURCE: SYS           POL#:
REASON: NONE
DOC ID: 123456789

REF: 002349650187  ACTIVITY: PLATES SURRENDERED VOLUNTARY EFF DATE: 01/25/2002
INS:
SUB/SENT: 01/25/2002  SOURCE: IO           POL#:
REASON: NONE
DOC ID: NONE

REF: 002349650187  ACTIVITY: REG. SUSP INDEF.           EFF DATE: 01/25/2002
INS:
SUB/SENT: 01/07/2002  SOURCE: SYS           POL#:
REASON: NONE
DOC ID: 456700623

> IMR
```

```
IMR
REF: 002349650187  ACTIVITY: LETTER           EFF DATE: 11/05/2001
INS: 706 SAFETYNET INSURANCE           POL#:
SUB/SENT: 12/05/2001  SOURCE: SYS           REASON: NONE
DOC ID: 123456789

REF: 002349650187  ACTIVITY: CANCELLATION           EFF DATE: 11/05/2001
INS: 706 SAFETYNET INSURANCE           POL#: 2349870087
SUB/SENT: 11/28/2001  SOURCE: EDI           REASON: NONE
DOC ID: NONE
```

Sample Record #13 - Insurance

- In this example, the registrant canceled insurance on 2/26/2001 and got new insurance on 4/20/2001. DMV issued a definite registration suspension, and the registrant paid a civil penalty in lieu of surrendering the registration and plates.
- The current insurer is TRANSVIEW, and the insurance was effective 4/20/2001.

VEHICLE: 2345678913456789987 98 DODGE	PLATE: 44DBGE34	TYPE: 76	
NAME: RECORD, MARSHA	DOB: 02/21/81	GENDER: FEMALE	CLIENT ID: 10390089
	29 STATES RD		UPTOWN NY 22323
CURRENT INSURANCE:	738 TRANSVIEW INS CO	EFF DATE: 04/20/2001	
REF: 002349650187	ACTIVITY: CIVIL PENALTY-PAID	EFF DATE: 05/23/2001	
INS:		POL#:	
SUB/SENT: 05/23/2001	SOURCE: IO	REASON: NONE	
DOC ID: NONE			
REF: 002349650187	ACTIVITY: REG. SUSP DEF.	EFF DATE: 05/15/2001	
INS:		POL#:	
SUB/SENT: 05/01/2001	SOURCE: SYS	REASON: NONE	
DOC ID: 123456789			
REF: 002349650187	ACTIVITY: NEW BUSINESS	EFF DATE: 04/20/2001	
INS: 738 TRANSVIEW INS CO		POL#: BWE642987	
SUB/SENT: 04/28/2001	SOURCE: SYS	REASON: NONE	
DOC ID: 123456789			

IMR		
REF: 002349650187	ACTIVITY: CANCELLATION	EFF DATE: 02/26/2001
INS: 706 SAFETYNET INSURANCE		POL#: 2349870087
SUB/SENT: 03/23/2001	SOURCE: EDI	REASON: NONE
DOC ID: NONE		