

Insurance Information & Enforcement System (IIES)

Disposition/Error Explanations for Codes Reported Back to Insurers

Resolved (No-Hit Exception) Errors

Transaction posted to a registration record after resolution (exceptions level matching) processing

R500 Matched record after resolution

The data on your transaction did not find a record that was an exact match (H-Hit). The transaction went through our resolution (R- Resolved) matching program and found a record where the VIN matched your transaction by 70%. Other matching criteria were also used. Review the information on the R505 that is sent to you with the R500 to confirm that the record it matched to is correct. If the registrant is your insured, collect the information (such as VIN) for your records so that subsequent transactions do not return a R500. If the registrant is not your insured, send a rescission (REP or REC) of the transaction using your data.

R505 Associated NYS DMV registrant information (returned with R500)

This data advises you of the DMV record that the transaction posted to. If it is incorrect, send us an e-mail and request a manual correction.

R600 Matched record after resolution - Late filing

R600 tells you that the transaction data is not an exact match (H) and it posted to a record after resolution (R). Review the information on the R605 that is sent to you with the R600 to confirm that the record it matched to is correct. Additionally, the filing was late. See R500.

R605 Associated NYS DMV registrant information (returned with R600)

This data advises you of the DMV record that the transaction posted to. If it is incorrect, send us an e-mail and request a manual correction.

Unresolved (No-Hit Exception) Errors
Transaction did not post to a registration record

U100 Unmatched record – plate hit (dealer/transporter registration)

The transaction received did not match to a record even though the plate number was a match. Review the name on the filing and verify your insured name.

U105 Associated NYS DMV registrant information (dealer/transporter) (returned with U100)

U105 is returned with U100 and provides the record for your review. If the information matches your insured, you can use it to re-send your transaction.

U500 Unmatched record- No VIN or name hit

This is an informational code that advises you that there is no matching record. This error tells you that there is no registration record with the data that matches the data on the transaction; the VIN is not in our database or the vehicle is not (yet) registered in NYS. A U500 does not require a resubmission.

U600 Unmatched record - VIN hit

This error advises you that the VIN matches a record; however, the name on your transaction does not match the name on our records. The U605 provides the registrant information. You can use this to determine who the registrant is and resubmit your transaction if they are your insured. When a transaction is received for a corporation (entity type 2); the U600 is recorded on the EDITS (Insurance Transaction Lookup) application, but the U600 error is not reported back to the sender. You will only receive the U700 when the transaction is for a corporation and the entity type is "2" and the transaction is a New Business.

U605 Associated NYS DMV registrant information (returned with U600)

U700 Unmatched record – sent to recycle

This error code only applies to NBS transactions. This is an informational code that tells you there is no matching record and the transaction will recycle for up to 52 days from its effective date. This error tells you that there is no registration record with the data that matches the data on the transaction. A U700 does not require a resubmission. The recycle file runs on weekends. If a transaction does not find a matching record after the recycle period, it is returned to the insurer with the appropriate U error code.

U900 Unmatched record – no plate or name hit (dealer/transporter registration)

The transaction received did not match to a record. There was no match on the plate submitted or the name. Review the plate number and the name on the filing.

Edit Errors (Unmatched)

Transaction did not post to a registration record

E020 Last name not provided for individual

Verify that no spaces are provided in the name field. Re-submit transaction with corrected information.

E025 First name not provided for individual

Individuals require Last name, First name and a date of birth. Confirm that your data is in the proper field.

E030 Entity type invalid

Confirm that you have used the proper entity type. Entity Type is "1" when an individual is reported or "2" when a non-person entity (organization) is reported.

E045 NYS Driver License Number/Federal Taxpayer ID invalid

This error is informational. Your transaction will post if this is the only error. All of the other error codes prevent a transaction from posting. For NYS license number you must only submit a NYS driver license number. Confirm that the license number on the transaction belongs to this registrant/insured name. If the NYS license number is unknown or the client does not have a NYS driver license, enter 9 zeros in this field. The Federal Employer Identification Number (FEIN) cannot contain letters or hyphens. Submit the 9 digit tax payer ID number. If the ID number is not known, enter 9 zeros in this field. Every attempt should be made to report the NYS driver license number or the FEIN.

E075 Transaction type/code invalid

The transaction type/code in your submission is invalid. Review Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper code to submit.

E110 Insured date of birth invalid format

The date of birth must be in the format of YYMMDD.

E120 Termination or Effective date invalid format

The date must always be in the format of YYMMDD.

E125 Plate number not provided for dealer/transporter registrant

Transactions for dealer or transporter registration must always contain the NYS license plate number.

E130 Dealer/transporter transaction submitted for other FS or FH record

Transactions for dealer or transporter registration cannot be submitted for other registration classes.

E135 Transaction type/code invalid for dealer/transporter registrant

Refer to Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper data to submit.

E200 VIN invalid

Confirm that the VIN number being reported is the manufacturer number stamped on the vehicle. Additionally, NYSDMV issues NY VIN numbers after vehicles are salvaged; the number will be the letters NY followed by a series of numbers. If a NYS VIN is assigned, do not report the manufacturer's VIN and do not report additional characters.

E355 Number of transactions not equal to total

Refer to Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper data to submit.

E500 EDI file not in X12 format

Review the data reporting guidelines in the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR).

E505 Transaction version not 3050

Review the data reporting guidelines in the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR).

E510 Duplicate interchange

A duplicate interchange [an interchange is a set of transactions] was submitted to DMV.

E515 Invalid field type (alphanumeric or numeric)

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the field type code to submit.

E520 Numeric fields not right justified

Review the data reporting guidelines in the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR).

E525 Alphanumeric fields not left justified

Review the data reporting guidelines in the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR).

E530 Spaces not used for null alphanumeric fields

Review the data reporting guidelines in the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR).

E535 Zeroes not used for null numeric fields

Review the data reporting guidelines in the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR).

E540 Field value exceeded specified sizes

Review the data reporting guidelines in the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR).

E545 Mandatory segments not populated

Review the data reporting guidelines in the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR).

E550 Mandatory fields contained only spaces or zeroes
Review the data reporting guidelines in the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR).

E555 Interchange control test indicator must be "T" for testing
Review testing protocols with EDI Testing Unit/IIESMAIL.

E560 Interchange control test indicator must be "P" for production
Review production protocols with EDI Testing Unit/IIESMAIL.

E565 Alphabetic fields not upper case
Review the data reporting guidelines in the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR).

E600 Interchange sender ID unknown
Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit.

E605 Interchange receiver ID unknown
Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit.

E610 Interchange date greater than current date
Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit.

E615 Interchange date invalid format
Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit.

E620 Functional group sender ID unknown
Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit.

E625 Functional group receiver ID unknown
Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit.

E630 Functional group date greater than current date
Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit.

E635 Functional group date invalid format
Date must be in the format YYMMDD. Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit.

E640 Sender ID unknown

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit.

E645 Receiver ID unknown

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit.

E650 Insurance Company ID unknown

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit.

E655 NYS DMV state ID code invalid

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit.

E660 Name not provided for organization

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit. The correct qualifier for an organization entity type is "2".

E665 Additional insured date of birth invalid format

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit. The date of birth must be in the format of YYMMDD.

E670 VIN all alphabetic

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit. Confirm the VIN number submitted.

E675 VIN all the same character

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit. Confirm the VIN number submitted.

E685 VIN invalid for dealer/transporter registrant

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit. Dealer/Transporter transactions must utilize the specific VIN of "NYDLR62MCD64TRA66".

E686 Vehicle century invalid for policy type 8 (dealer/transporter registration)

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit. Dealer/Transporter transactions must utilize the specific century of vehicle of "20".

E687 Vehicle year invalid for policy type 8 (dealer/transporter registration)

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit. Dealer/Transporter transactions must utilize the specific vehicle year of "06".

E688 Vehicle make invalid for policy type 8 (dealer/transporter registration)

Review the Motor Vehicle Liability Insurance Reporting Implementation Guide (MVLIR) for the proper values to submit. Dealer/Transporter transactions must utilize the specific make of "NONE".

E689 XLC has same effective date as NBS on file. Send REP

A cancellation has been received; however it cannot be posted to the record because the effective date of the cancellation is the same as the effective date of the New Business (NBS). You cannot cancel the policy using the same effective date as the inception of the policy. This is a flat cancellation scenario. A cancellation is not allowed and you must rescind the NBS that was sent by filing a Rescission of Electronic Proof (REP). If an REP is not received, the carrier will remain as the current insurer as of the NBS effective date.

E690 2 NBS on file; XLC has same eff date as 2nd NBS

A cancellation has been received; however it cannot be posted because the record has a New Business (NBS) that has the same effective date as the cancellation. Review the NBS filing that was posted to the record. Rescind the NBS if it was filed in error.

E691 XLC with diff eff date, no REI. Check XLC eff date

A cancellation (XLC) has been received; however it cannot be posted because there is already an XLC on file from your company. Additionally, there is no Reinstatement (REI) posted to the record in order for this new cancellation to be accepted. This is a multiple cancellation scenario. Only the XLC with the actual effective date that insurance was cancelled is required and allowed. The effective date must not represent an administrative, mailing processing or other date. Verify which effective date is correct. If it is the first one, nothing else has to be done. If the second one is correct, send an REC for the first one and resubmit the second XLC.

E692 XLC has same effective date as REI on file. Send REP

A cancellation (XLC) has been received; however it cannot be posted because the XLC submitted has the same NAIC/ICC code and effective date as a Reinstatement (REI) that has already posted to the record. The insurer must send a Rescission of Electronic Proof (REP) to rescind the REI that has posted. If an REP is not sent, the carrier will remain as the current insurer of record as of the REI effective date.

E700 Multiple submission of the same transaction in the same interchange

A filing has been received that is a duplicate of one that has already posted to a record. If the insured still has an open case that should have been closed by the transaction, send an e-mail to IIESMAIL.

E705 Multiple submission of the same transaction in different interchanges

A filing has been received that is a duplicate of one that has already posted to a record. If the insured still has an open case that should have been closed by the transaction, send an e-mail to IIESMAIL.

E710 Transaction submitted for excluded class

Excluded classes include motorcycles, all terrain vehicles, snowmobiles, vessels, non commercial trailers, police and fire vehicles, government vehicles. Review the class of vehicle and if further clarification is needed, send an e-mail to IIESMAIL.

E715 Future effective or termination date for FS not allowed

The effective date of the transaction cannot have a future effective date from the date of receipt for non-for hire vehicles (FS). Only For Hire (FH) filings can have future effective dates when submitting transactions.

E720 New business (FH) future effective date greater than 45 days after current date

Resubmit the New Business (NBS) within the appropriate timeframe.

E725 Effective date not applicable to transaction type

Review the type of transaction you are submitting and resubmit using the acceptable effective date.

E730 Future termination date for FS not allowed

This vehicle is not registered in a For Hire class; it is an FS class registration. FS cancellations cannot have future effective dates.

E735 Cancellation (FH) future termination date less than 20 days after current date

The effective date of the cancellation must be received at least 20 days before its effective date.

Resubmit the Cancellation (XLC) within the appropriate timeframe.

E740 Termination date not applicable to transaction type/code

Review the type of transaction you are submitting and resubmit using the acceptable effective date.

E745 Interchange has a XLC and NBS for the same effective date, vehicle and name

Review your transactions. The effective date must not represent an administrative, mailing processing or other date.

E750 Interchange has a XLC and REI for the same effective date, vehicle and name

Review your transactions. The effective date must not represent an administrative, mailing processing or other date.

E755 Date of birth not provided for individual

A date of birth is required for an individual. Re-submit the transaction with the proper date of birth.

E760 Gender not provided for individual

The gender is required for an individual. Re-submit the transaction with the proper gender.

E765 Date of birth not provided for additional individual

A date of birth is required for an individual. Re-submit the transaction with the proper date of birth.

E770 Gender not provided for additional individual

The gender is required for an individual. Re-submit the transaction with the proper gender.

E775 Zip code not provided for organization

The zip code is required for an organization. Re-submit the transaction with the proper zip code.

E780 Invalid termination date for FH vehicles

Commissioner Regulations Part 34 prohibits the reporting of For Hire cancellations with certain effective dates. Review the For Hire Requirements document posted on the IIES Website for specific information on filing cancellations.

E785 Invalid policy type provided for FS registration

This error code only applies to NBS transactions. For Hire policies are not valid on vehicles registered as "FS/Passenger" or non-For Hire use. Re-submit transaction after the vehicle is registered in the For Hire class. If you insure the vehicle registered as a FS/Passenger class, re-submit with the appropriate policy type.

E800 A REC transaction did not find a matching XLC
Review the effective date and NAIC for the Cancellation (XLC) filing and resubmit Rescission of Electronic Cancellation (REC) with that NAIC code.

E810 A REP transaction did not find a matching LOD, NBS or REI
Review the effective date and NAIC for the NBS/REI filing and resubmit the Rescission of Electronic Proof (REP) with that NAIC code.

E820 Interchange has an XLC & REC, same effective date, vehicle & name
Review your transactions. The transaction must not represent an administrative or reissuance of the policy.

E830 Interchange has an REP and NBS, same effective date, vehicle & name
Review your transactions. The transaction must not represent an administrative or reissuance of the policy.

E840 Interchange has an REP and REI, same effective date, vehicle & name
Review your transactions. The transaction must not represent an administrative or reissuance of the policy.

E850 A NIS transaction did not find a matching MVF
An NIS can only be submitted in response to a DMV initiated Mandatory Verification (MVF) request.
Review the MVF and re-submit using the DMV tracking number.

E860 Interchange has a NBS or LOD & NIS, same effective date, vehicle & name
Review your transactions. The transaction must not represent an administrative or reissuance of the policy. (LOD transactions are no longer allowed to be submitted to DMV.)

E870 Interchange has a REI & NIS, same effective date, vehicle & name
Review your transactions. The transaction must not represent an administrative correction to the policy.

E880 FH XLC transaction submitted for FS record on file
The vehicle is not registered as a For Hire (FH) class vehicle. A cancellation (XLC) with a future effective date is not allowed. Re-submit the cancellation with a proper effective date.

E890 FS XLC transaction submitted for FH on file
The vehicle is registered as a For Hire (FH) class vehicle. The transaction does not meet the requirements of for-hire reporting. Review the For Hire Requirements document posted on the IIES Website for specific information on filing cancellations.

E895 XLC (FH) effective date less than 20 days after current date and plate not surrendered
This error is returned when the Cancellation (XLC) is sent using policy type 5 and there is no plate surrender on file. Re-submit the cancellation using a future effective date.

E900 XLC (FH) effective date less than 20 days after current date and no super-ceding coverage
This error is returned when the Cancellation (XLC) is sent with the policy type 6 and there is no preceding coverage. Re-submit the cancellation using a future effective date.

E905 XLC (FH) effective date less than 20 days after current date and no vehicle replacement
This error is returned when the XLC is sent with the policy type 7 and there is no vehicle replacement.
Re-submit the cancellation using a future effective date.

E910 XLC (FH) transaction did not find a matching XLC on replaced vehicle
This error is returned when the Cancellation (XLC) is sent with the policy type 7 and there is no matching XLC on the replaced vehicle.

E915 Transaction effective date subsequent to NAIC/ICC withdrawal

E920 NBS future effective date greater than 52 days after current date
We cannot accept a New Business (NBS) transaction that has a future effective date of more than 52 days from the current date due to our transaction recycle process. Re-submit the NBS so that the effective date is within 52 days of the submittal date.

Updated August 1, 2016