

Insurance Information & Enforcement System (IIES)

New Directions in Enforcing Compulsory Insurance Laws

June 15, 2000

UPDATE*UPDATE*UPDATE*UPDATE*UPDATE*UPDATE*UPDATE*UPDATE

IIES Database

DMV has built it and they shall come. The IIES production database was built over the June 10-11, 2000 weekend. An individual IIES database record was created for every registered vehicle in NYS. In addition, open inquiry letter, suspension, etc. information from the previous Financial Security Certification Program (FSCP) was migrated to the IIES database. Insurance company codes from insurance ID cards presented for registration are being posted to newly created IIES database records instead of registration records. DMV issuing offices and the Insurance Services Bureau in DMV's central office are using IIES applications for processing residual work from the previous program. DMV will start processing IIES initial load tapes on June 16 to begin populating IIES database records with insurance company transaction information.

Trading Partner Certification (TPC)

Approximately 200 insurance companies have been certified as DMV trading partners. Congratulations to all of the certified insurers. Insurance companies yet to begin the TPC process are urged to do so sooner rather than later. DMV's insurance company priorities are (1) initial loading for certified companies, (2) working with companies on production and matching problems and (3) certifying addition insurance companies and servicing agents as DMV staff is available. More DMV staff is available for TPC now than will be available later.

Effective July 1, 2000 listings of insurance companies that completed trading partner certification and initial loading will be published on the IIES web site.

Some insurance company groups have not been submitting all of their NAIC codes in conjunction with TPC. Accordingly, such groups should verify that all of its NAIC codes assigned a DMV insurance company code (ICC) that insure vehicles in NYS have been certified as DMV trading partners.

Coordination of Initial Loading

Every insurance company should coordinate the loading of various business segments, if applicable. This includes different lines of business using the same data center, the same or different business lines using different data centers and a company using a serving agent for a portion of its business. It is not necessary that the cartridges/tapes be submitted together but loading needs to occur within close proximity. Once any segment of an insurance company's (NAIC code) business has been successfully loaded, that insurance company is responsible for reporting statutorily required ongoing transactions and responding to DMV generated MVF transactions for all segments of its business.

Use of a Servicing Agent

Every insurance company that will use a servicing agent to report all or a portion of its business must provide DMV with the authorizations required by Regulation Part 34.2(v) before loading. Loads will not be processed and passwords to obtain performance reports will not be assigned to a servicing agent unless required insurance company authorizations have been submitted to DMV.

Receipt of Initial Load Tapes

Initial load tapes must be received by DMV no later than 3:00p.m. on the Friday prior the scheduled loading week. For example, if Company ABC signs up to load the week of July 16, the initial load tape(s) must be received at DMV by 3:00p.m. on Friday July 13. An insurance company that does not submit a load tape for its scheduled week will lose its loading slot and will have to reschedule with DMV.

Single Mailbox to Receive MVF Transactions

Every insurance company (NAIC code) must designate a single VAN mailbox to receive DMV initiated MVF transactions. An insurance company must then forward transactions to a different mailbox, if applicable, or otherwise obtain the necessary information to respond to DMV. This single mailbox designation does not apply to DMV 811 return transactions that will be sent to each individual sender's VAN mailbox.

Single Mailing Address to Receive NVF Cartridges

Note for mid-2001. Similar to MVF above, every insurance company (NAIC code) must designate a single mailing address to receive DMV initiated NVF cartridges.

Web Interface Report Download—Log In

Some of you may have noticed this new utility on the IIES web site. Appropriate set-up procedures will be completed with each insurance company and servicing agent in conjunction with initial loading. Please do not contact DMV at this time.

Financial Security Certification Program (FSCP) Tapes

Per prior notification, DMV stopped accepting FSCP tapes at noon on May 19, 2000. Some insurance companies continue to send these tapes to DMV that we are returning unprocessed. The previous program has been discontinued. Please verify with appropriate personnel that your company has stopped submitting old program tapes.
DO NOT RISK HAVING IES LOAD TAPE(S) RETURNED UNPROCESSED.